

# Controlling GAN via PCA of latent vectors

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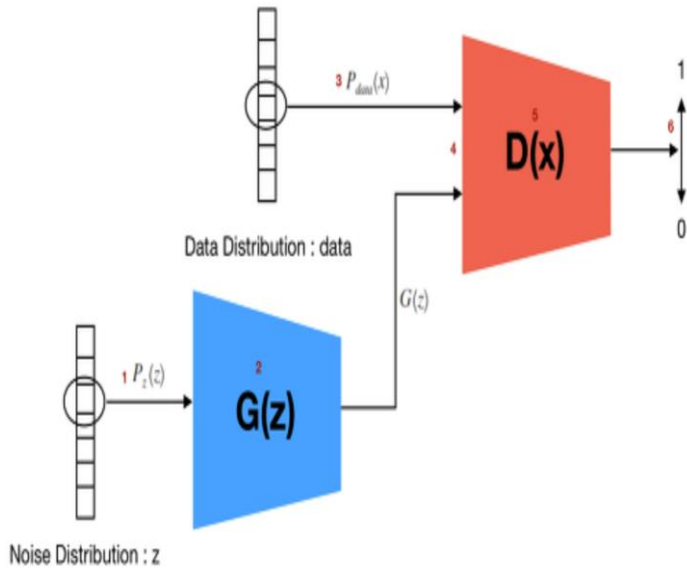
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# Outline

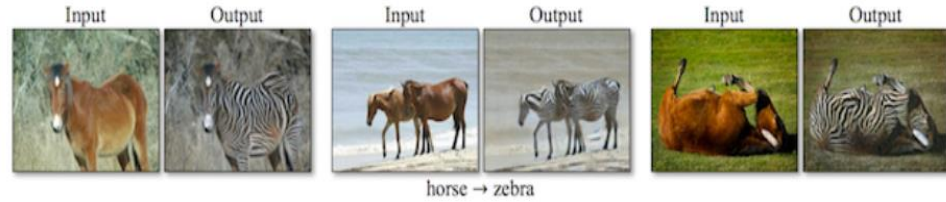
- Introduction
  - GAN: good at image synthesis
  - GAN: controllable?
- Background
  - StyleGAN[1]
  - BigGAN[2]
  - PCA of latent vectors
- GAN and PCA
  - Results and findings
- Conclusion

# Introduction

- GAN: good at image synthesis



Basic GAN structure



CycleGAN[3]



BigGAN[2]



LR image

4x HR image

SRGAN[4]

# Introduction

- GAN: controllable?
  - Supervised learning of latent direction[5]
    - Shorten the distance between the generated image after taking “ $\alpha$ -step” in the latent direction  $G(z + \alpha w)$  and the target  $\text{edit}(G(z), \alpha)$

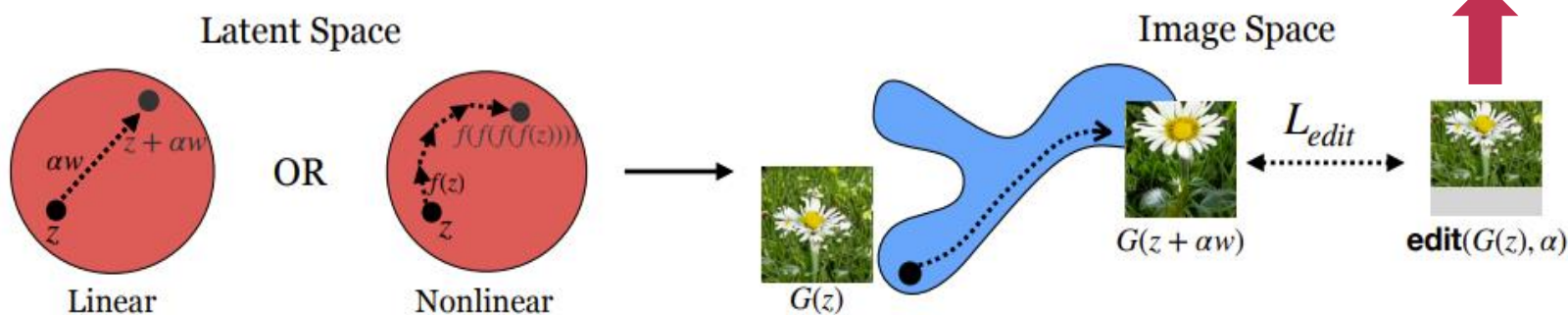
⚡ New loss for G

$$\mathcal{L}_{edit} = L2(G(z + \alpha w) - \text{edit}(G(z), \alpha))$$

⚡ Loss for D

$$\mathcal{L}_{GAN} = \max_D (\mathbb{E}_{z, \alpha} [D(G(z + \alpha w))] - \mathbb{E}_{x, \alpha} [D(\text{edit}(x, \alpha))])$$

*Need additional object detector*



# Introduction

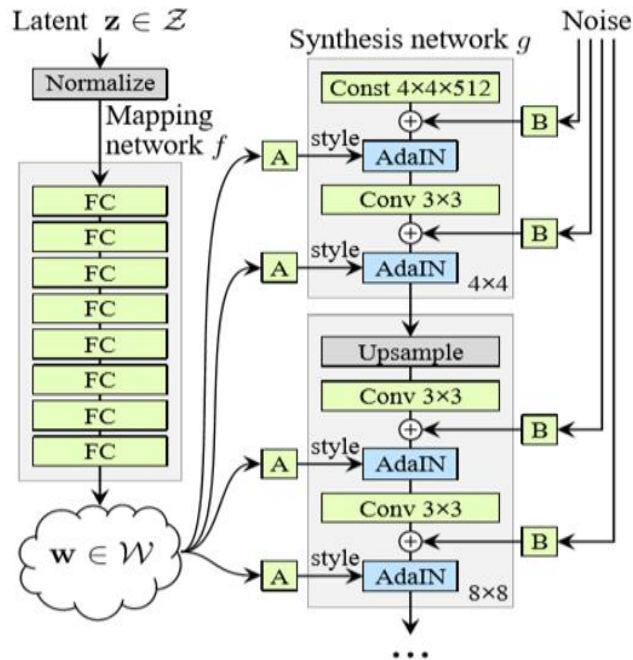
- GAN: controllable?
  - Unsupervised identification of interpretable directions



# Background

- StyleGAN[1]

- Network



- Experimental results

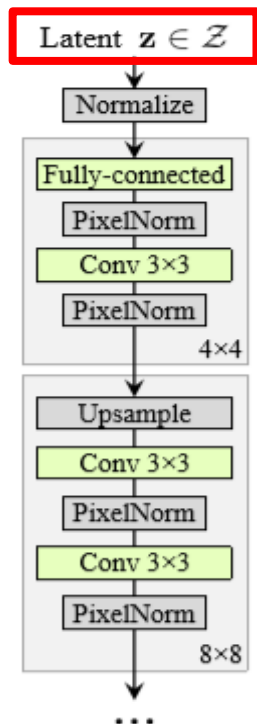


Not-curated set of images in  $1024^2$  resolution

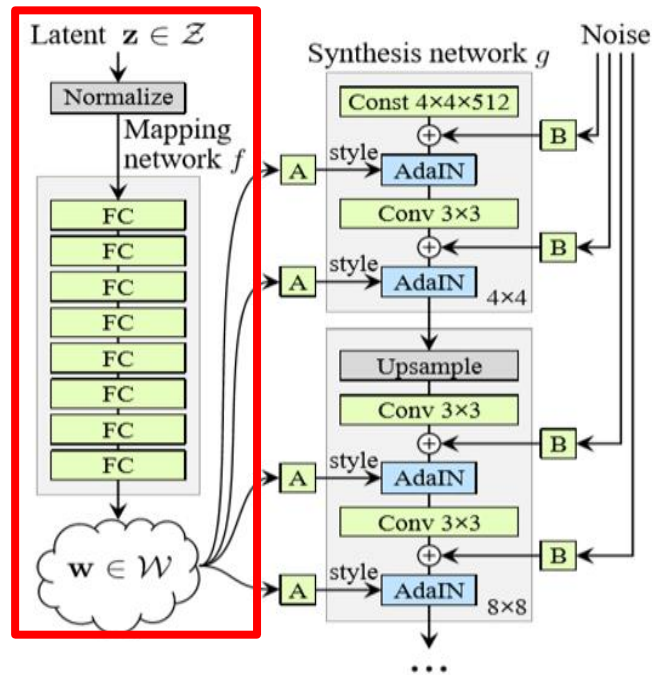


# Background

- StyleGAN[1]
  - Mapping Network



Traditional



StyleGAN

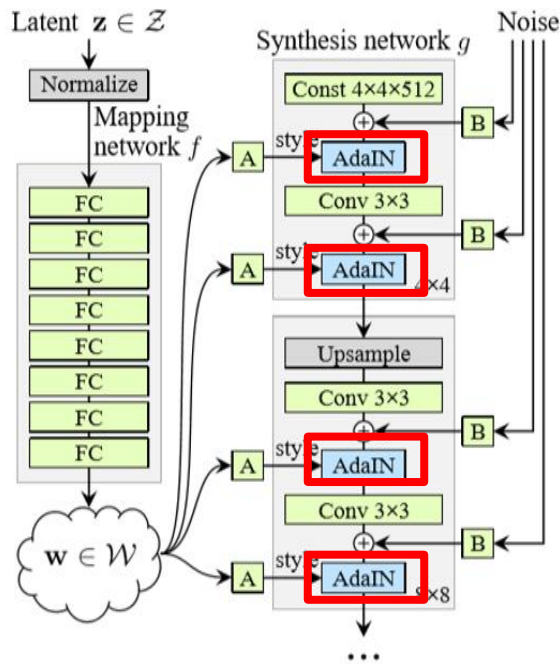
$z \in Z$   
(latent code, 512 dim.)



$w \in W$   
(Intermediate latent space, 512 dim.)

# Background

- StyleGAN[1]
  - Adaptive Instance Normalization



StyleGAN

*Normalize first using momentums taken across spatial axes*



$$\checkmark \text{AdaIN}(x_i, y) = y_{s,i} \frac{x_i - \mu(x_i)}{\sigma(x_i)} + y_{b,i}$$

$\checkmark w \in \mathcal{W}$  FC-Layer  $\rightarrow$   $\text{concat}(y_s, y_b)$   
 (Intermediate latent space, 512 dim.) (scale & bias parameter, 2\*C dim.)

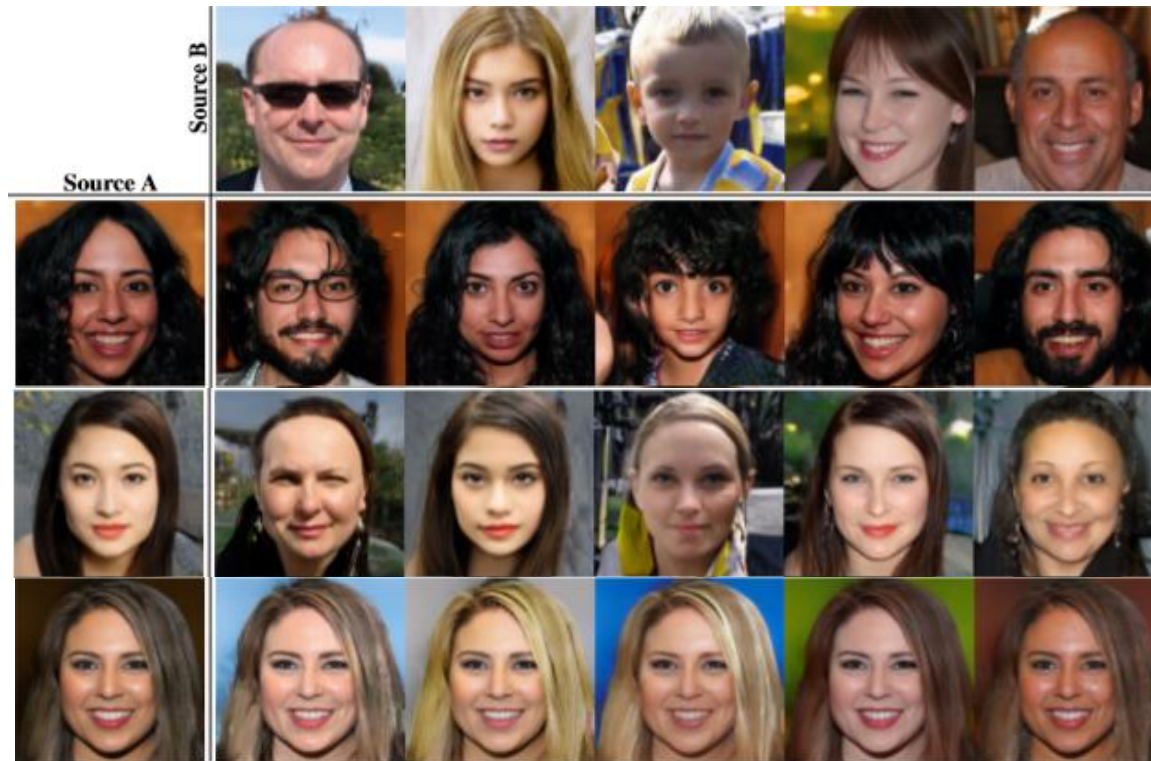
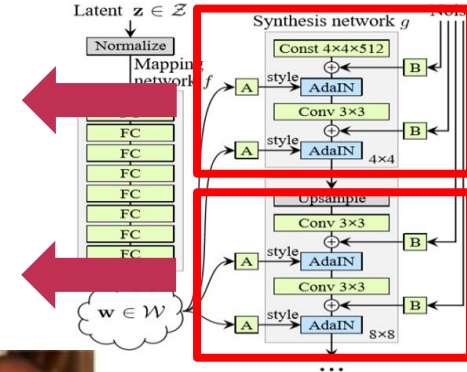


# Background

- StyleGAN[1]
  - Style Mixing

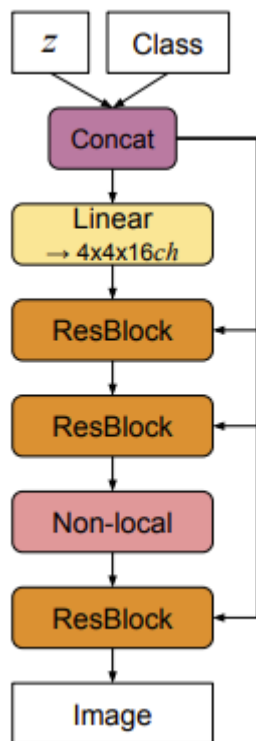
$$z_1 \sim p(z) \rightarrow w_1$$

$$z_2 \sim p(z) \rightarrow w_2$$



# Background

- BigGAN[2]
  - Feed-Forward Network

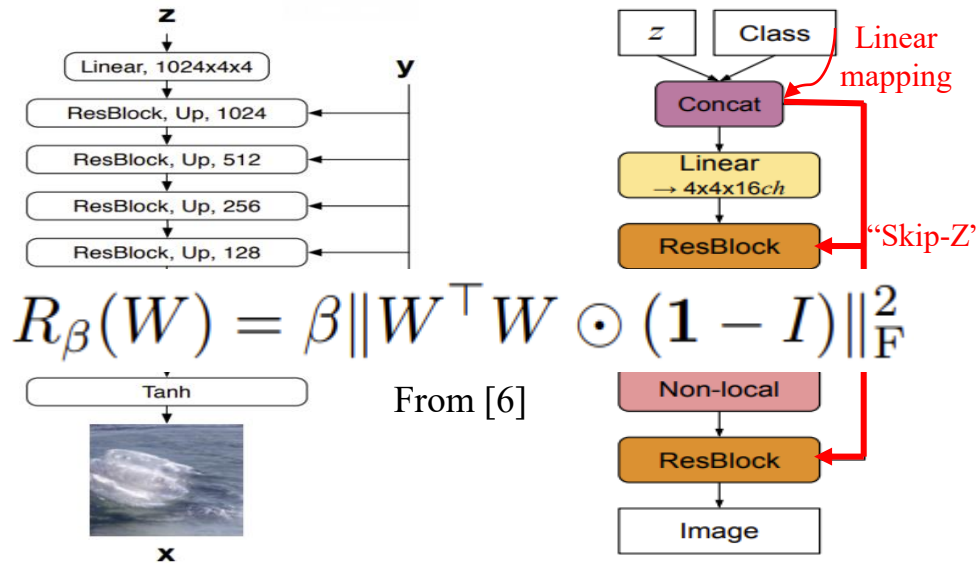


- Experimental results



# Background

- BigGAN[2]
  - Batch-size & Number of channels
  - Shared class embedding
  - Skip-z connection
  - Orthogonal regularization



$$R_{\beta}(W) = \beta \|W^T W \odot (\mathbf{1} - I)\|_F^2$$

From [6]

Batch	Ch.	Param (M)	Shared	Skip-z	Ortho.	Itr $\times 10^3$	FID $\downarrow$	IS $\uparrow$
256	64	81.5	SA-GAN Baseline			1000	18.65	52.52
512	64	81.5	✗	✗	✗	1000	15.30	58.77( $\pm 1.18$ )
1024	64	81.5	✗	✗	✗	1000	14.88	63.03( $\pm 1.42$ )
2048	64	81.5	✗	✗	✗	732	12.39	76.85( $\pm 3.83$ )
2048	96	173.5	✗	✗	✗	295( $\pm 18$ )	9.54( $\pm 0.62$ )	92.98( $\pm 4.27$ )
2048	96	160.6	✓	✗	✗	185( $\pm 11$ )	9.18( $\pm 0.13$ )	94.94( $\pm 1.32$ )
2048	96	158.3	✓	✓	✗	152( $\pm 7$ )	8.73( $\pm 0.45$ )	98.76( $\pm 2.84$ )
2048	96	158.3	✓	✓	✓	165( $\pm 13$ )	8.51( $\pm 0.32$ )	99.31( $\pm 2.10$ )
2048	64	71.3	✓	✓	✓	371( $\pm 7$ )	10.48( $\pm 0.10$ )	86.90( $\pm 0.61$ )

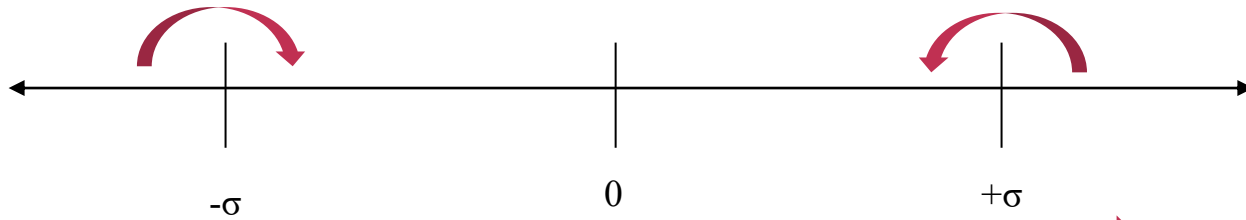
# Background

- BigGAN[2]
  - Orthogonal regularization
    - Truncation trick

Model	Res.	FID/IS	(min FID) / IS	FID / (valid IS)	FID / (max IS)
SN-GAN	128	27.62/36.80	N/A	N/A	N/A
SA-GAN	128	18.65/52.52	N/A	N/A	N/A
BigGAN	128	8.7 ± .6/98.8 ± 3	7.7 ± .2/126.5 ± 0	9.6 ± .4/166.3 ± 1	25 ± 2/206 ± 2
BigGAN	256	8.7 ± .1/142.3 ± 2	7.7 ± .1/178.0 ± 5	9.3 ± .3/233.1 ± 1	25 ± 5/291 ± 4
BigGAN	512	8.1/144.2	7.6/170.3	11.8/241.4	27.0/275
BigGAN-deep	128	5.7 ± .3/124.5 ± 2	6.3 ± .3/148.1 ± 4	7.4 ± .6/166.5 ± 1	25 ± 2/253 ± 11
BigGAN-deep	256	6.9 ± .2/171.4 ± 2	7.0 ± .1/202.6 ± 2	8.1 ± .1/232.5 ± 2	27 ± 8/317 ± 6
BigGAN-deep	512	7.5/152.8	7.7/181.4	11.5/241.5	39.7/298

Score on ImageNet

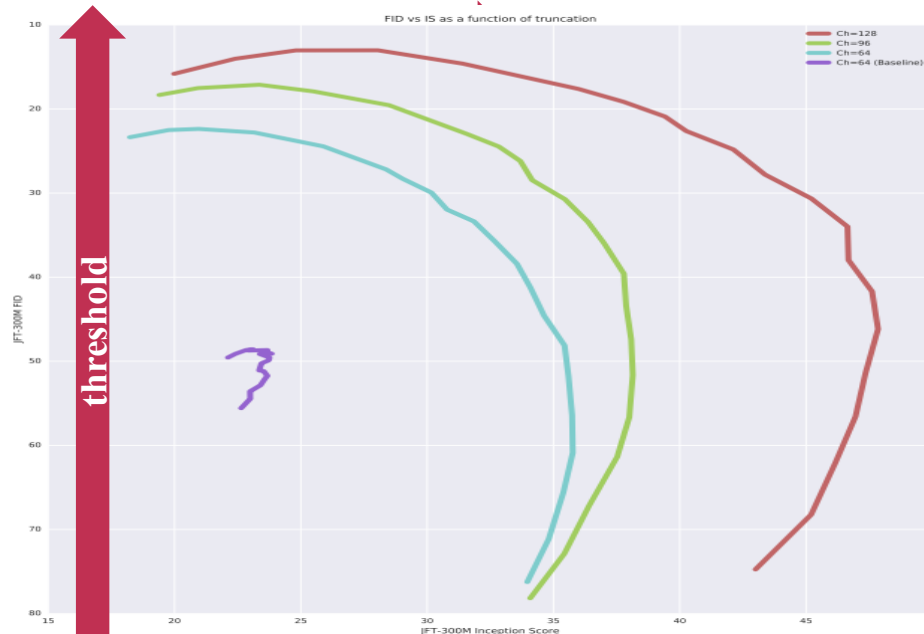
⚡ Resample components of  $z$  whose magnitudes are out of range  $[-\text{threshold}, \text{threshold}]$



Ch.	Param (M)	Shared	Skip-z	Ortho.	FID	IS	(min FID) / IS	FID / (max IS)
64	317.1	✗	✗	✗	48.38	23.27	48.6/23.1	49.1/23.9
64	99.4	✓	✓	✓	23.48	24.78	22.4/21.0	60.9/35.8
96	207.9	✓	✓	✓	18.84	27.86	17.1/23.3	51.6/38.1
128	355.7	✓	✓	✓	13.75	30.61	13.0/28.0	46.2/47.8



(a)



# Background

- BigGAN[2]
  - Other experiments...
    - Parameter initialization
      - ⌘  $N(0, 1)$ ,  $u(-1, 1)$ ,  $Bernoulli\{0, 1\}$ ,  $\max(N(0, 1), 0)$ , ...
    - Instability: Generator
      - ⌘ Importance of top-three singular values of each matrix
      - ⌘ How to counteract spectral explosion
      - ⌘ Which value is good for clamping the first singular value
    - Instability: Discriminator
      - ⌘ Why the loss of D jumps when training collapse
      - ⌘ D is memorizing the training set?



# Background

- StyleGAN[1] & BigGAN[2]

- Inference

- BigGAN

- $\ast y_i = G_i(y_{i-1}, z)$

- StyleGAN

- $\ast y_i = G_i(y_{i-1}, w)$  with  $w = M(z)$

- Able to mix styles?

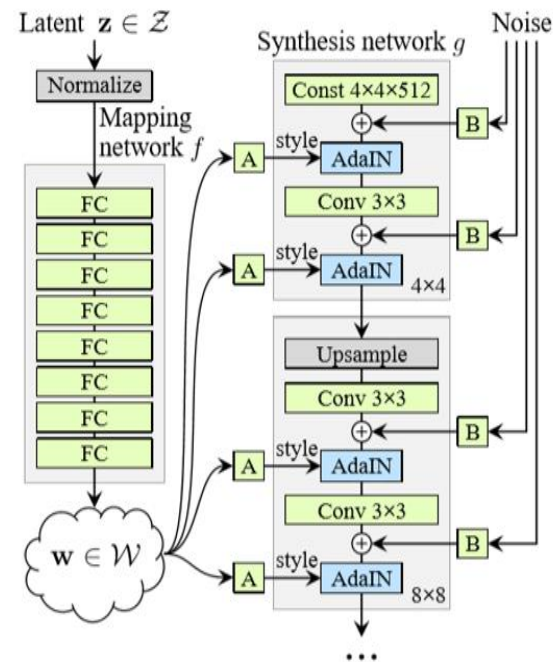
- StyleGAN

- $\ast$  yes

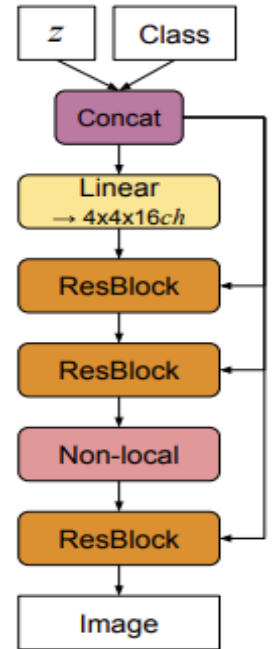
- BigGAN

- $\ast$  no

$z$ : noise vector  
 $G_i$ :  $i$ th layer of  $G$   
 $y_i$ : output of  $G_i$   
 $M$ : 8 - MLP



StyleGAN



BigGAN



# Background

- PCA of latent vectors

- StyleGAN[1]

- Goal is to identify the principal axes of  $p(\mathbf{w})$

- ⌘ Since the distribution of  $\mathbf{z}$  is not learned, which distribution of  $\mathbf{z}$  is isotropic

- How to do?

- ⌘ Sample  $N$  random vectors  $\mathbf{z}_{1:N}$

- ⌘ Compute  $\mathbf{w}_i = M(\mathbf{z}_i)$

- ⌘ Compute PCA of these  $\mathbf{w}_{1:N}$  values

- ⌘ Get matrix  $\mathbf{V}$ : basis matrix

- Edit  $\mathbf{w}$

- ⌘  $\mathbf{w}' = \mathbf{w} + \mathbf{V}\mathbf{x}$

- ✓  $\mathbf{x}$  is composed of constants to control the value of  $\mathbf{w}$  on new axes, and set by user

- ⌘ Assume only one  $\mathbf{w}$  is used for image generation

# Background

- PCA of latent vectors

- BigGAN[2]

- Perform PCA at an intermediate network layer  $i$

- ⌘ The output of 1st layer is used, as the performance was better than using any other output tensor

- How to do?

- ⌘ Sample  $N$  random vectors  $\mathbf{z}_{1:N}$

- ⌘ Compute  $\mathbf{y}_j = G_i(\mathbf{z}_j)$

- ⌘ Compute PCA of these  $\mathbf{y}_{1:N}$  values

- ✓ Get low-rank basis matrix  $\mathbf{V}$ , data mean  $\mu$

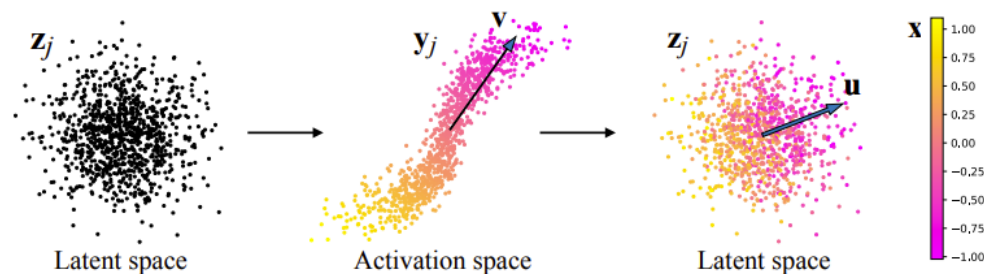
- ⌘ Get PCA coordinates  $\mathbf{x}_j$  of each feature tensor:  $\mathbf{x}_j = \mathbf{V}^T(\mathbf{y}_j - \mu)$

- ⌘  $\mathbf{u}_k = \operatorname{argmin} \sum_j \|\mathbf{u}_k x_j^k - \mathbf{z}_j\|^2$ , where  $x_j^k$  is the  $k$ th value of  $\mathbf{x}_j$

- Edit  $\mathbf{z}$

- ⌘  $\mathbf{z}' = \mathbf{z} + \mathbf{U}\mathbf{x}$

- ✓  $\mathbf{x}$  is composed of constants to control the value of  $\mathbf{z}$  on new axes, and set by user

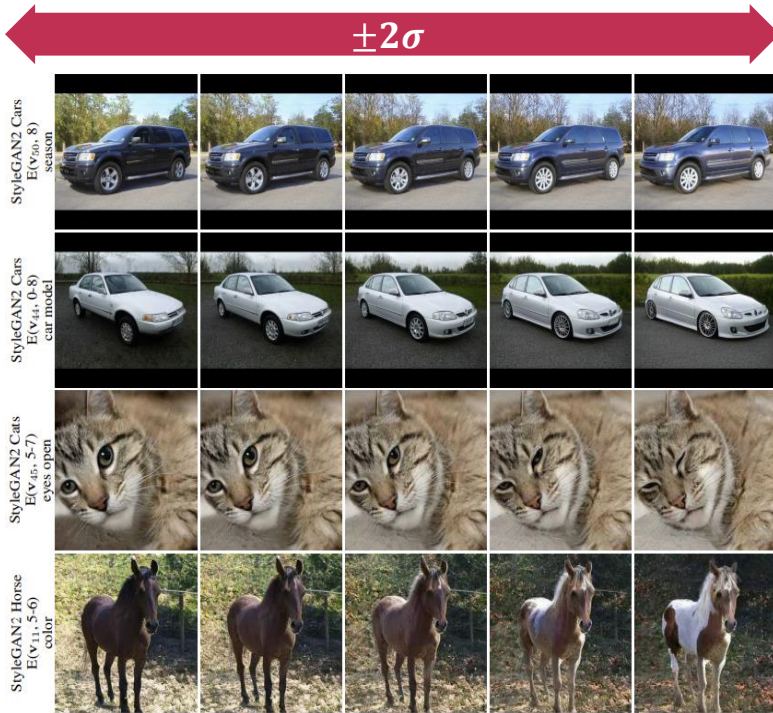


# GAN and PCA

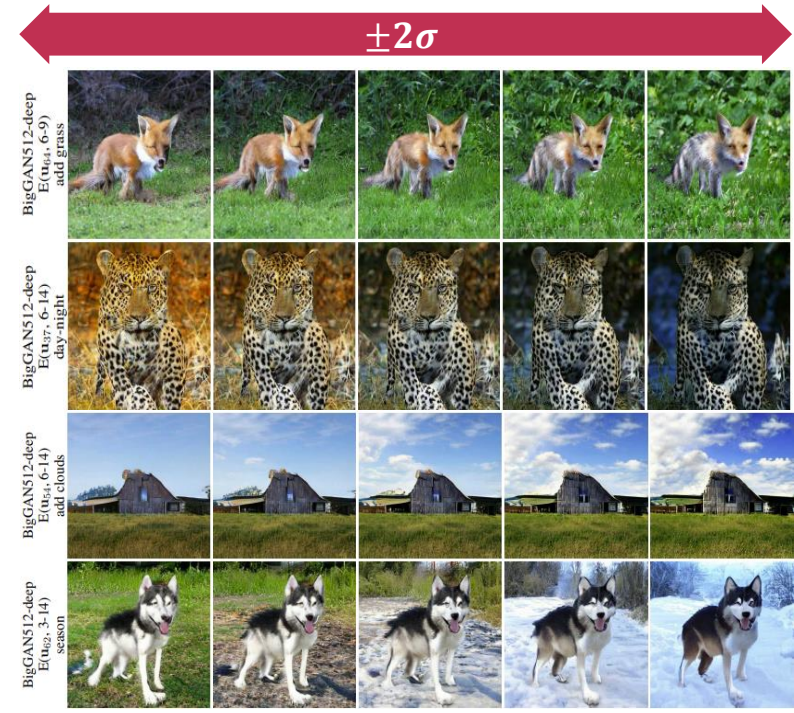
- Results and findings

- Find specific principal axes & layers

-  $E(v_j, start - end)$ : edit latent vector using  $j$  th principal axis from 'start' layer to 'end' layer



StyleGAN

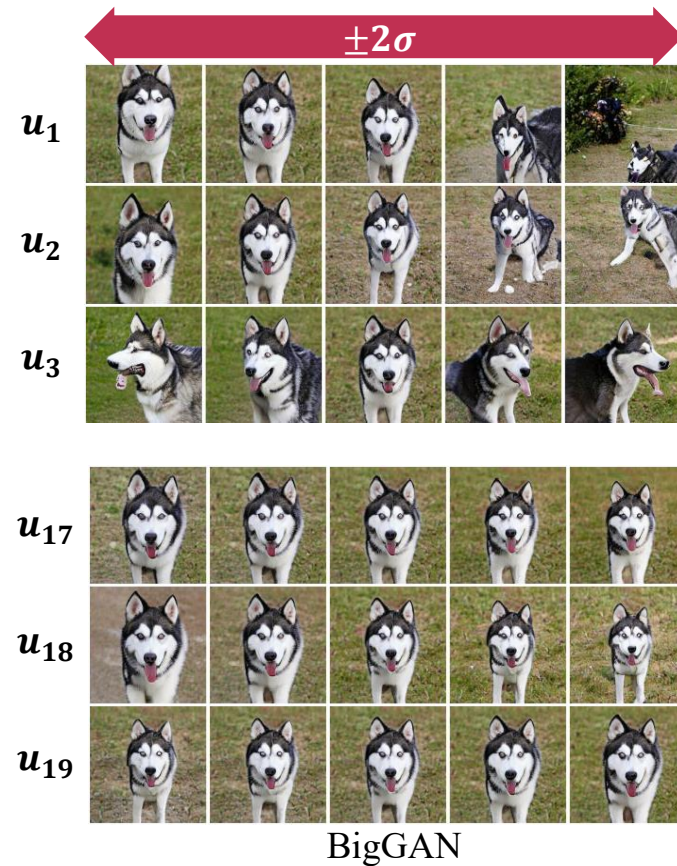
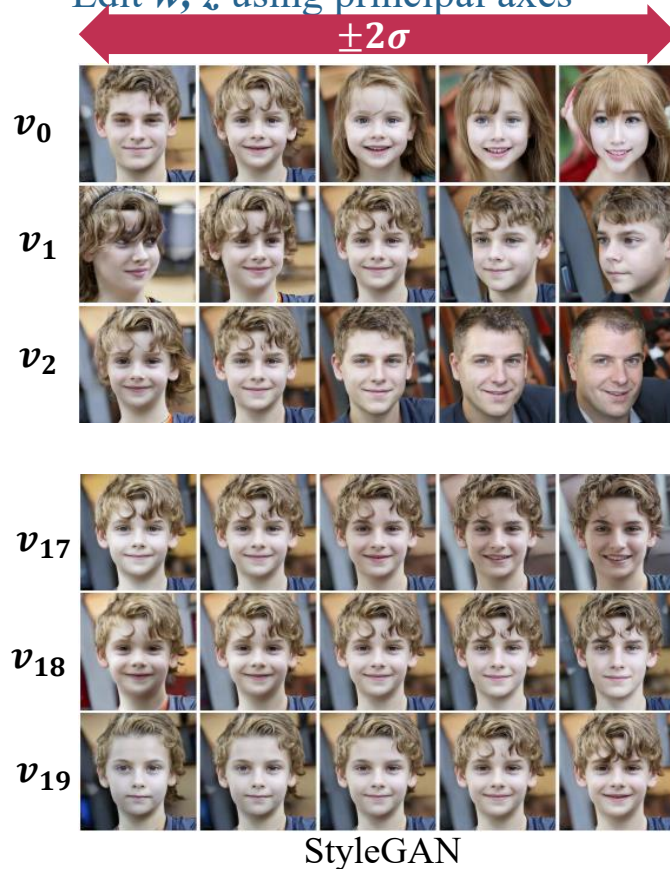


BigGAN



# GAN and PCA

- Results and findings
  - Edit  $w, z$  using principal axes



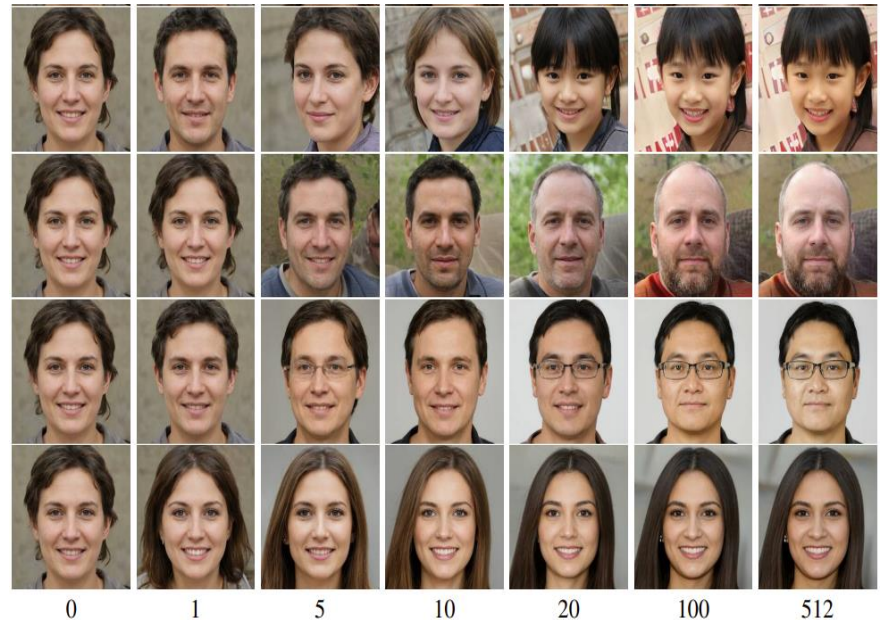
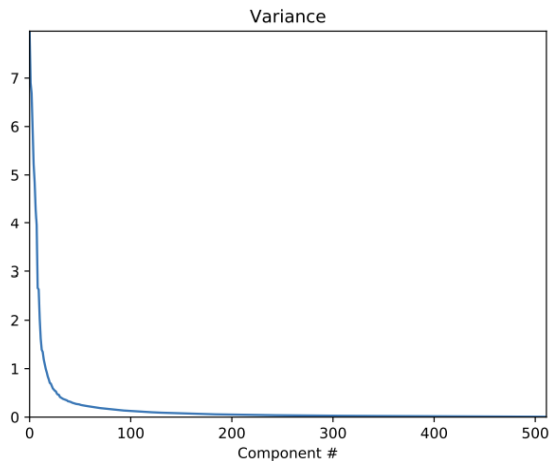
# GAN and PCA

- Results and *findings*

- How many dimensions are important to image synthesis?

- Investigation of variance captured in each dimension of the PCA for the FFHQ StyleGAN model

- ✧ First 100 dimensions capture 85% of the variance; first 200 dimensions capture 92.5%



$w \leftarrow V_K V_K^T (w - \mu) + \mu,$   
where  $V_K$  are the columns for the first  $K$  principal components



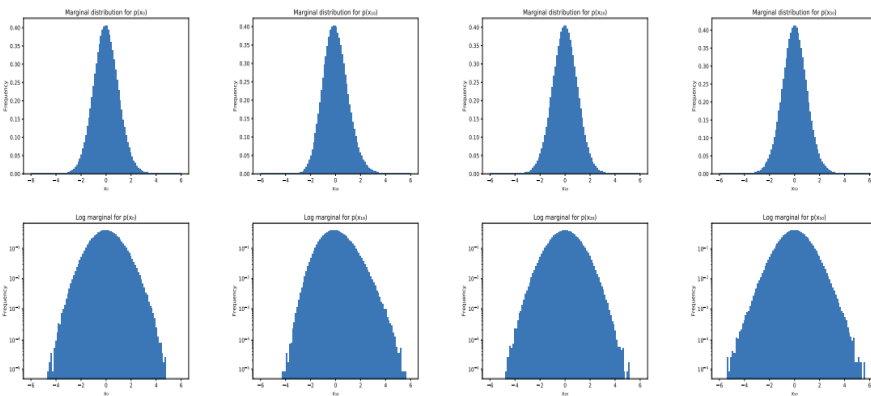
# GAN and PCA

- Results and *findings*

- Where are the  $w$ s?

- Investigation of distribution of  $w$ :  $p(w)$

- ⊛ By investigating of  $p(x^0, x^1, \dots, x^{511})$



Marginal distribution of  $x^0, x^{18}, x^{20}, x^{50}$

$$\begin{aligned} & \text{Mutual Information of } \mathbf{x}^j, \mathbf{x}^k \\ &= \text{KL}(p(\mathbf{x}^j, \mathbf{x}^k) \parallel p(\mathbf{x}^j)p(\mathbf{x}^k)) \\ &= -H(\mathbf{x}^j, \mathbf{x}^k) + H(\mathbf{x}^k) + H(\mathbf{x}^j) \end{aligned}$$



$$\begin{aligned} MI(\mathbf{x}^j, \mathbf{x}^k) &\in [6.9, 8.7], \text{ if } j = k \\ &\in [0, 0.3], \text{ else} \end{aligned}$$



Almost Independent!



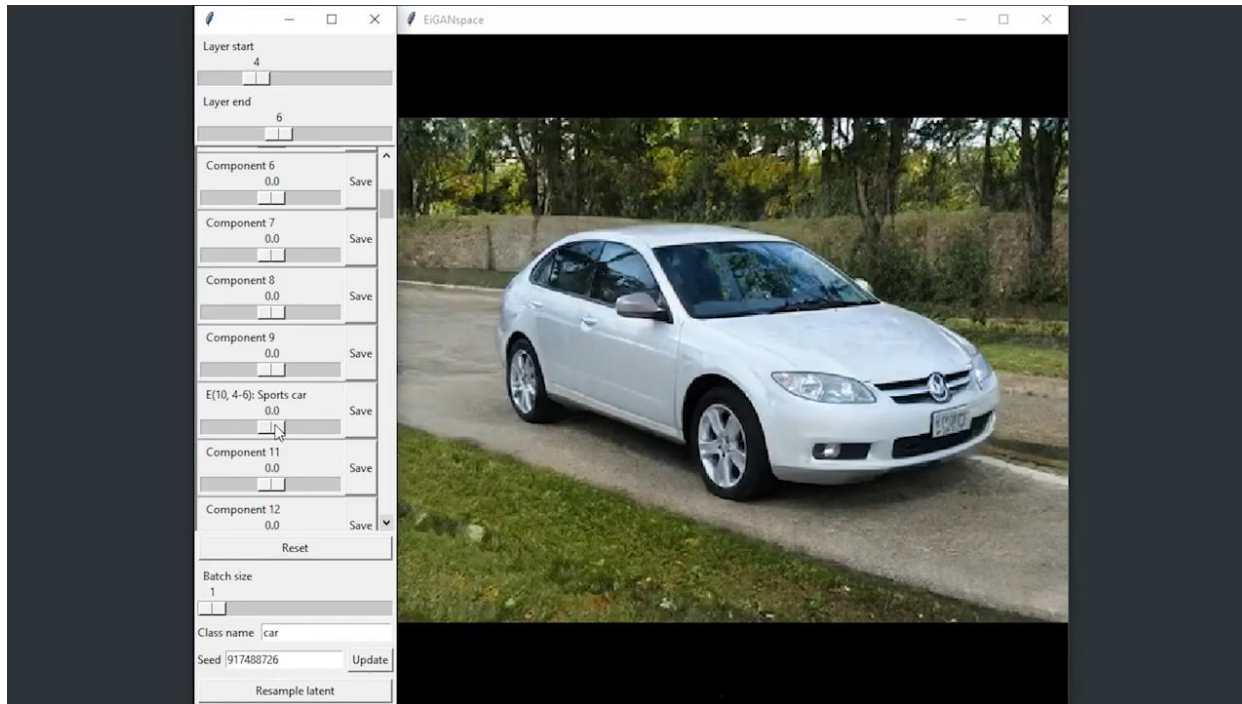
$$\mathbf{x}^j \sim p(\mathbf{x}^j)$$

$$\mathbf{y} = \mathbf{V}\mathbf{x} + \boldsymbol{\mu}$$



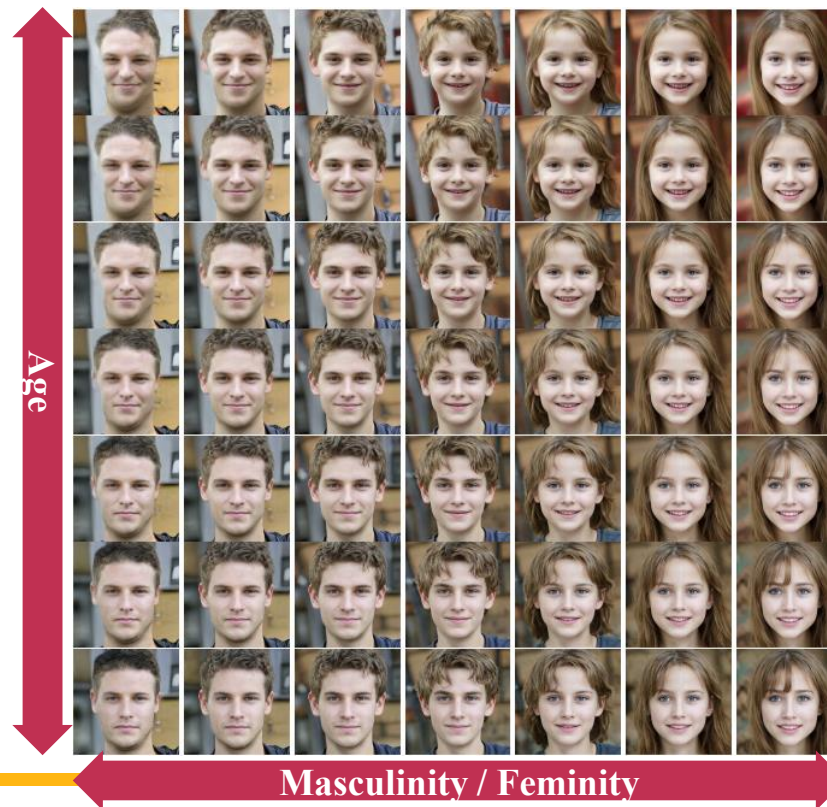
# GAN and PCA

- Results and *findings*
  - Undesirable properties inherited from GAN's training set
    - Entanglement



# GAN and PCA

- Results and *findings*
  - Undesirable properties inherited from GAN's training set
    - Disallowed combination



# Conclusion

- **GAN control using PCA**

- We can control contents of images generated by GAN whose layers share same input like  $\mathbf{z}$ ,  $\mathbf{w}$  in BigGAN, StyleGAN.
- Even, for StyleGAN, we can get  $\mathbf{w}$  w/o computing 8-MLP when we do inference.

- **Limitations**

- Heavily depending on GAN's training dataset.
- Need to find every direction & layers for specific control.

# Reference

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