

# Self-Supervised Learning

2021 연구실 하계 세미나

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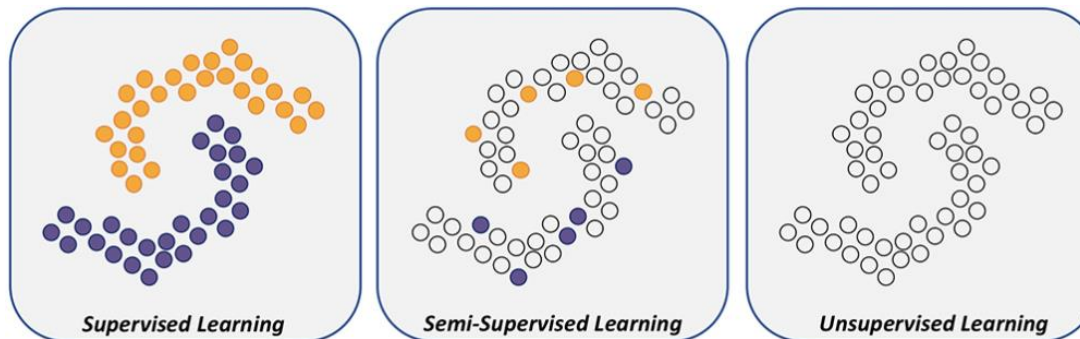
*Dept. of Electronic Engineering, Sogang University*

# Outline

- What is Self-Supervised Learning?
- Why we need Self-Supervised Learning?
- Trend of the self-supervised learning
- Bootstrap your own latent
- Exploring Simple Siamese Representation Learning
- References

# Self-Supervised Learning

- What is Self-Supervised Learning?
  - Supervised Learning
    - 모든 학습 데이터에 label이 존재
  - Semi-Supervised Learning
    - 일부 학습 데이터에 label이 존재
  - Unsupervised Learning
    - 학습 데이터에 label이 존재하지 않음
    - Self-supervised learning은 unsupervised learning의 일부
      - ※ 스스로 Supervision을 주어서 학습을 진행



# Self-Supervised Learning

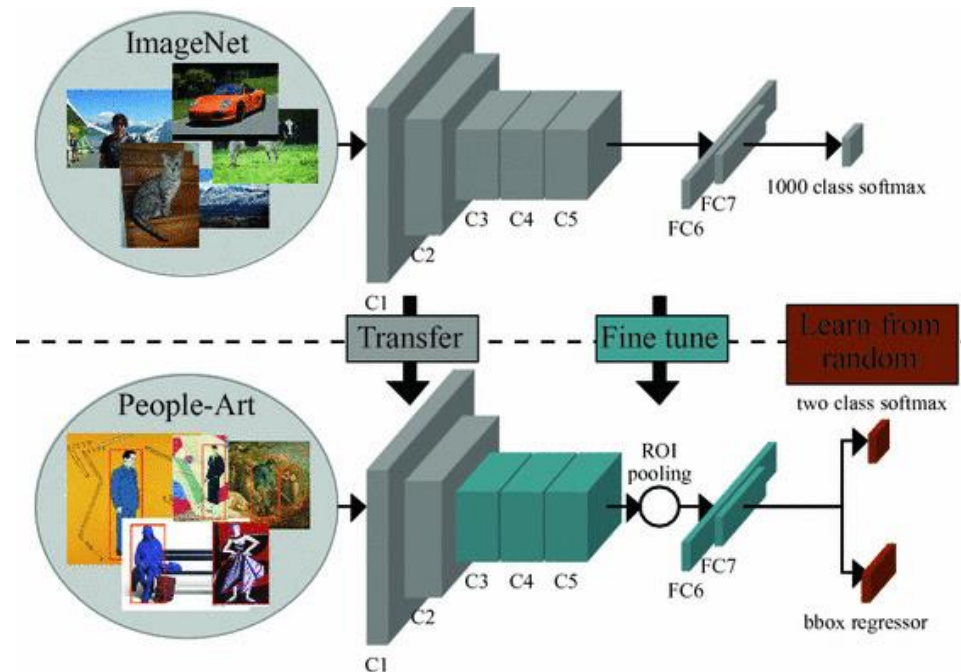
- Why we need Self-Supervised Learning?

- Visual Representation

- 일반적으로 deep learning model 학습 시 pretrained weight 를 사용

- ※ Computer vision task의 경우 일반적으로 ImageNet pretrained weight를 사용

- ※ Pretrained weight를 사용했을 때와 사용하지 않았을 때의 성능 차이가 큼



# Self-Supervised Learning

- Why we need Self-Supervised Learning?

- Visual Representation

- 일반적인 RGB 영상이 아닌 특수한 영상을 사용하는 deep learning model의 경우 ImageNet pretrain이 아닌 해당 data에 대한 pretrain 후, 학습된 weight를 사용하는 것이 성능 향상에 유리
      - ※ Pretrained model이 해당 data에 대한 feature extraction을 잘하기 때문
      - ※ Ex) 의료 영상, ToF 영상 등
    - 특수한 data의 경우 양은 많이 존재하나, labeling 되어 있지 않은 경우가 많음
      - ※ Labeling에는 많은 cost가 필요

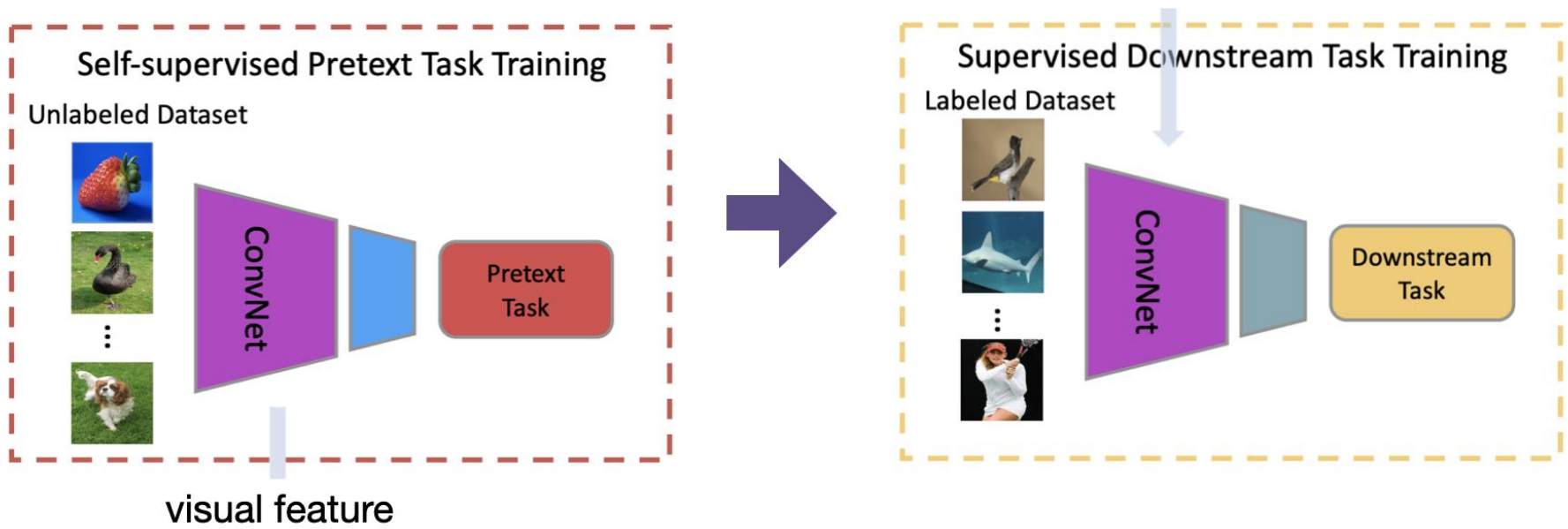


# Self-Supervised Learning

- Trend of the self-supervised learning

- Pretext Tasks (~ 2018)

- 사용자가 정의한 간단한 문제인 Pretext Tasks 를 통해 visual representation 을 학습한 후, 해당 network 를 실제 사용할 Downstream Task 에 적용하는 방법
    - Supervised learning 으로 학습할 때보다 낮은 성능을 보임
    - Pretext task 를 잘 풀도록 학습되었을 뿐 이미지의 일반적인 시각적 특징을 잡아내지는 못함



# Self-Supervised Learning

- Trend of the self-supervised learning

- Pretext Tasks (~ 2018)

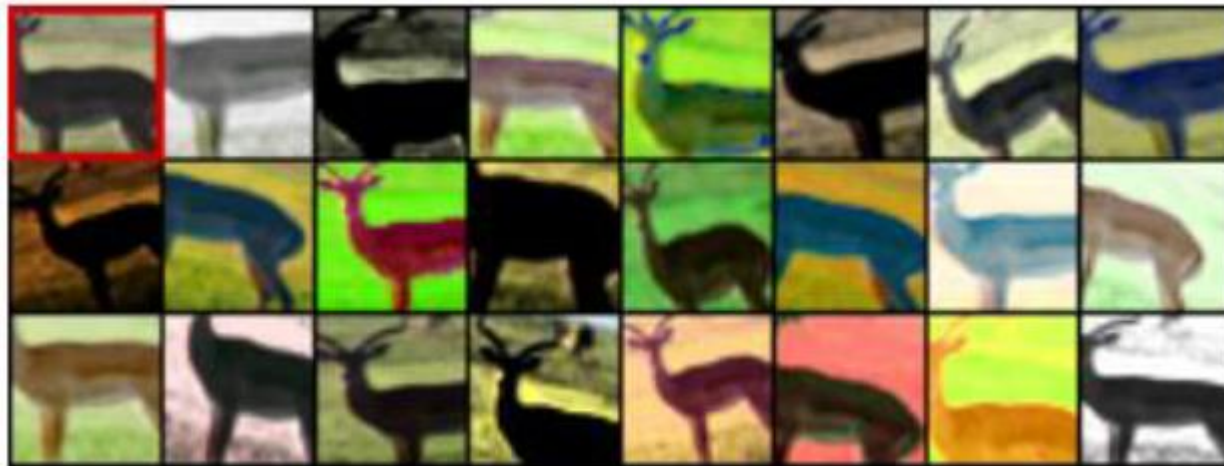
- Exemplar [1] (NIPS 2014)

- ※ Image 내에 object 가 존재하는 영역 하나를 patch 로 crop 하여 augmentation

- ※ Augmented patches 는 전부 같은 class 로 판단하도록 학습

- ✓ Image 수가 class 수가 되기 때문에 과도한 class 수가 존재

- ✓ 학습 시 많은 memory 필요



# Self-Supervised Learning

- Trend of the self-supervised learning

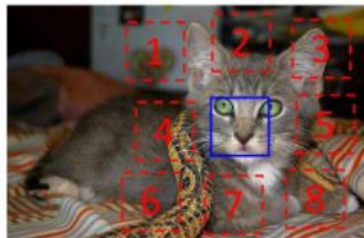
- Pretext Tasks ( ~ 2018)

- Context Prediction [2] (ICCV 2015)

- ※ 9개의 patch 를 가운데 patch 를 기준으로 나머지 patch 의 상대적 위치를 classification 하도록 학습

- Jigsaw Puzzle [3] (ECCV 2016)

- ※ 9개의 patch 의 순서를 섞은 후, 원래의 순서를 찾아가도록 학습



$X = (\text{patch}_1, \text{patch}_2, \dots, \text{patch}_9)$ ;  $Y = 3$

< Context Prediction >



Extract 9 patches

Index (0~99)      Permutation  
61                  9, 5, 8, 3, 2, 4, 7, 1, 6



Permute 9 patches

< Jigsaw Puzzle >



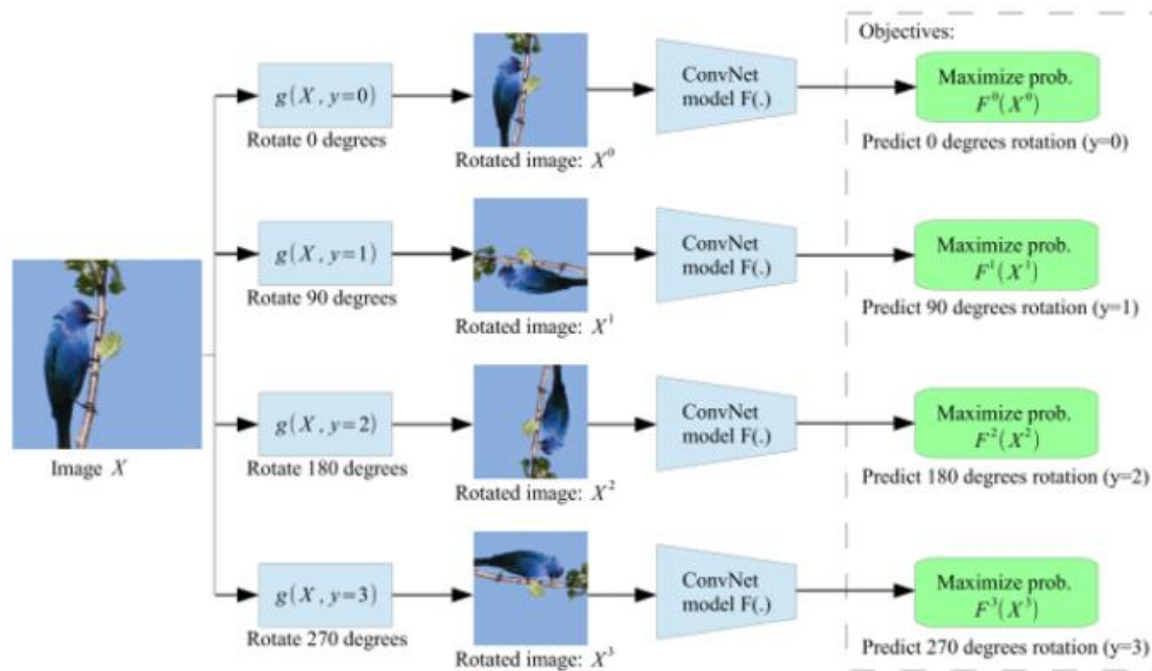
# Self-Supervised Learning

- Trend of the self-supervised learning

- Pretext Tasks ( ~ 2018)

- Rotation [4] (ICLR 2018)

※ Rotation 된 image가 원래 image에서 얼마나 rotation 되었는지를 classification 하도록 함.



# Self-Supervised Learning

- Trend of the self-supervised learning

- Contrastive Learning (2019 ~ 2020)

- Pretext task 를 정의하지 않고, 같은 image 에 서로 다른 augmentation 을 적용한 positive pair feature representation 는 서로 가깝게, 다른 image 에 다른 augmentation 을 적용한 negative pair 의 feature representation 은 서로 멀어지게 학습하는 것
    - Supervised ImageNet pretrained model 을 사용할 때와 비슷하거나 오히려 높은 성능 달성
    - 여러 가지 문제점 존재

- ※ Collapsing

- ✓ 입력을 모두 같은 값으로 수렴시키는 문제 발생
        - ✓ 이를 해결하기 위해 학습 시 large batch size (256 ~ 8192) 사용

- ※ Negative pair를 정의하는 augmentation 을 어떤 것을 사용하는지에 따라 성능 차이가 심함

- ✓ 적절한 augmentation 선정 필요

# Self-Supervised Learning

- Trend of the self-supervised learning

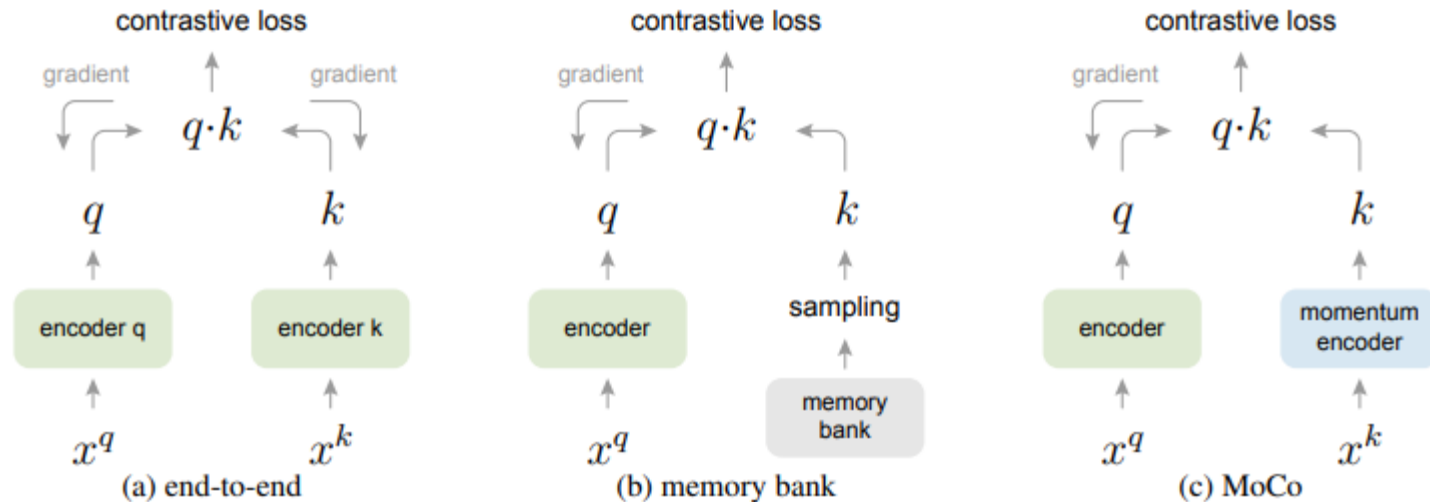
- Contrastive Learning (2019 ~ 2020)

- MoCo [5] (CVPR 2020)

- ⌘ 기존 방식 중 end-to-end 방식은 large batch size 필요

- ⌘ Memory bank 는 inconsistency 발생

- ⌘ Momentum encoder 를 사용하여 mini-batch 로 inconsistency 가 발생하지 않는 contrastive learning 방식 제안



# Self-Supervised Learning

- Trend of the self-supervised learning

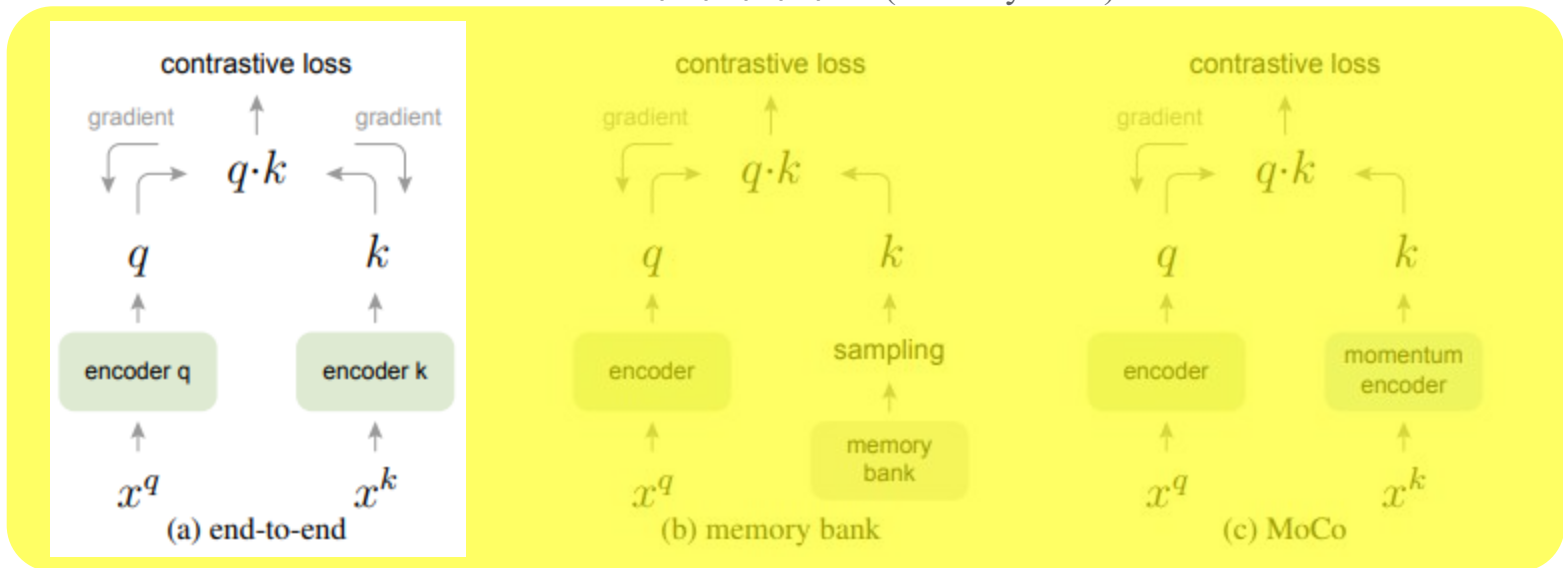
- Contrastive Learning (2019 ~ 2020)

- MoCo [5] (CVPR 2020)

- ⊛ End-to-end 방식

- ✓ Batch size 를 크게 가져가 많은 negative sample 을 만들고, 각각의 encoder 를 모두 backpropagation 하는 방식을 채택

- Batch size 를 크게 가져가야 함 (Memory limit)



# Self-Supervised Learning

- Trend of the self-supervised learning

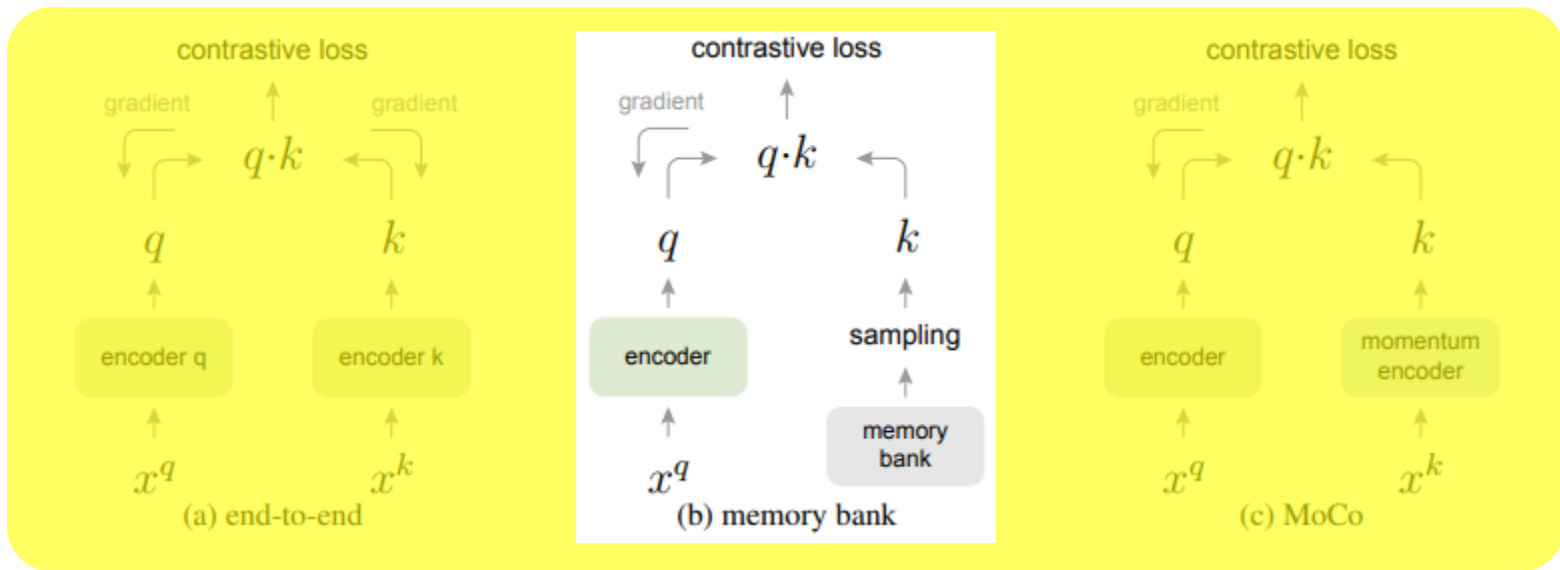
- Contrastive Learning (2019 ~ 2020)

- MoCo [5] (CVPR 2020)

- ⊛ Memory bank 방식

- ✓ 모든 image 에 대해 encoder 를 통과시킨 feature representation 을 추출하고, 해당 vector 를 key 로 하여 memory bank 에 저장 후 sampling 하여 사용

- Batch size 를 작게 가져갈 수 있으나, inconsistency 발생



# Self-Supervised Learning

- Trend of the self-supervised learning

- Contrastive Learning (2019 ~ 2020)

- MoCo [5] (CVPR 2020)

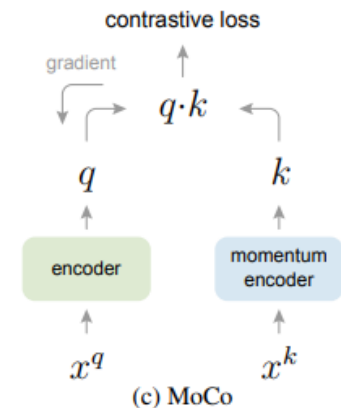
- ⌘ Dynamic dictionary

- ✓ Mini-batch 보다 큰 size 의 dictionary size 를 지정하고, momentum encoder 를 통과하여 추출된 feature 를 FIFO 방식으로 queuing 하여 사용

- ⌘ Momentum encoder

- ✓ Momentum encoder 는 backpropagation 하지 않음
          - Backpropagation 시 성능이 떨어지는 것을 실험적으로 확인
- ✓ Encoder 에서 학습된 weight 에 momentum 을 주어 update

$$\theta_k \leftarrow m\theta_k + (1 - m)\theta_q.$$



# Self-Supervised Learning

- Trend of the self-supervised learning

- Contrastive Learning (2019 ~ 2020)

- MoCo [5] (CVPR 2020)

☞ Downstream task 에서 supervised pretrained model 과 비슷하거나 더 높은 결과를 보임

pre-train	COCO keypoint detection		
	AP <sup>kp</sup>	AP <sub>50</sub> <sup>kp</sup>	AP <sub>75</sub> <sup>kp</sup>
random init.	65.9	86.5	71.7
super. IN-1M	65.8	86.9	71.9
<b>MoCo IN-1M</b>	66.8 (+1.0)	87.4 (+0.5)	72.5 (+0.6)
<b>MoCo IG-1B</b>	66.9 (+1.1)	87.8 (+0.9)	73.0 (+1.1)

pre-train	COCO dense pose estimation		
	AP <sup>dp</sup>	AP <sub>50</sub> <sup>dp</sup>	AP <sub>75</sub> <sup>dp</sup>
random init.	39.4	78.5	35.1
super. IN-1M	48.3	85.6	50.6
<b>MoCo IN-1M</b>	50.1 (+1.8)	86.8 (+1.2)	53.9 (+3.3)
<b>MoCo IG-1B</b>	50.6 (+2.3)	87.0 (+1.4)	54.3 (+3.7)

pre-train	LVIS v0.5 instance segmentation		
	AP <sup>mk</sup>	AP <sub>50</sub> <sup>mk</sup>	AP <sub>75</sub> <sup>mk</sup>
random init.	22.5	34.8	23.8
super. IN-1M <sup>†</sup>	24.4	37.8	25.8
<b>MoCo IN-1M</b>	24.1 (-0.3)	37.4 (-0.4)	25.5 (-0.3)
<b>MoCo IG-1B</b>	24.9 (+0.5)	38.2 (+0.4)	26.4 (+0.6)

pre-train	Cityscapes instance seg.		Semantic seg. (mIoU)	
	AP <sup>mk</sup>	AP <sub>50</sub> <sup>mk</sup>	Cityscapes	VOC
random init.	25.4	51.1	65.3	39.5
super. IN-1M	32.9	59.6	74.6	74.4
<b>MoCo IN-1M</b>	32.3 (-0.6)	59.3 (-0.3)	75.3 (+0.7)	72.5 (-1.9)
<b>MoCo IG-1B</b>	32.9 ( 0.0)	60.3 (+0.7)	75.5 (+0.9)	73.6 (-0.8)

# Self-Supervised Learning

- Trend of the self-supervised learning

- Contrastive Learning (2019 ~ 2020)

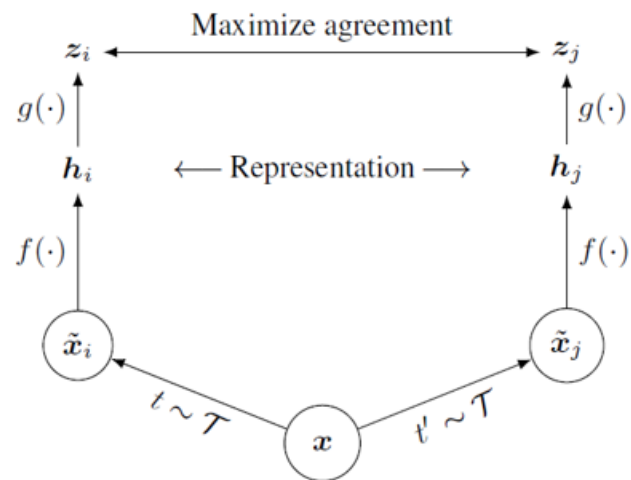
- SimCLR [6] (ICML 2020)

- ※ Large batch size 를 사용하는 end-to-end 방식 (batch size 4096 ~ 8192)

- ※ MoCo 보다 높은 성능 달성

- ※ Encoder 이후 MLP 로 이루어진 projection head 를 추가

- ※ Augmentation 이 contrastive learning에 미치는 영향 분석





# Self-Supervised Learning

- Trend of the self-supervised learning

- Contrastive Learning (2019 ~ 2020)

- SimCLR [6] (ICML 2020)

- ⚡ Large batch size

- ✓ 4096 를 기본으로 8192 까지 적용
        - ✓ 각 image 마다 2개의 서로 다른 augmentation 을 적용하여 batch size 가 N일 때, 2N 개의 sample 생성
        - ✓ 입력 x 에 대한 positive sample 은 1개, negative sample 은 2N-2개 만큼 생성됨
        - ✓ Batch size 가 클수록 negative sample 개수가 많아져 성능 증가
        - ✓ Large batch 에서 안정적으로 학습이 가능하도록 LARS optimization 적용
        - ✓ Multi- GPU를 이용한 학습 시 각 device 별로 batch normalization 을 진행하지 않고, 모든 device 의 평균, 표준편차를 통합하여 사용
          - Positive sample 이 1개이기 때문에 positive sample 이 존재하는 device 가 1개이기 때문

# Self-Supervised Learning

- Trend of the self-supervised learning

- Contrastive Learning (2019 ~ 2020)

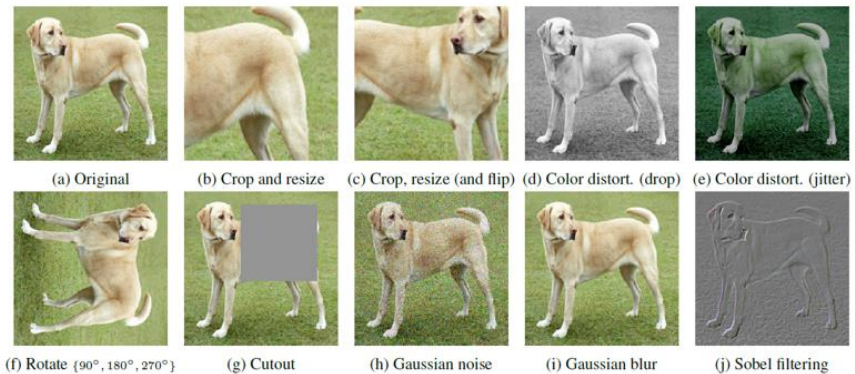
- SimCLR [6] (ICML 2020)

- ☼ Augmentation

- ✓ 2개의 augmentation 을 선택할 때, 어떠한 augmentation 을 사용하는지에 따라 성능 차이가 심함

- ✓ Crop 과 color distortion 을 선택하였을 때 가장 성능이 높음

- Color distortion 을 사용하지 않을 경우, augmentation 을 하더라도 augmentation된 영상들 간의 색 배합은 같기 때문에 visual representation 을 찾아내는 것이 아닌 색 배합만을 찾아냄.



# Self-Supervised Learning

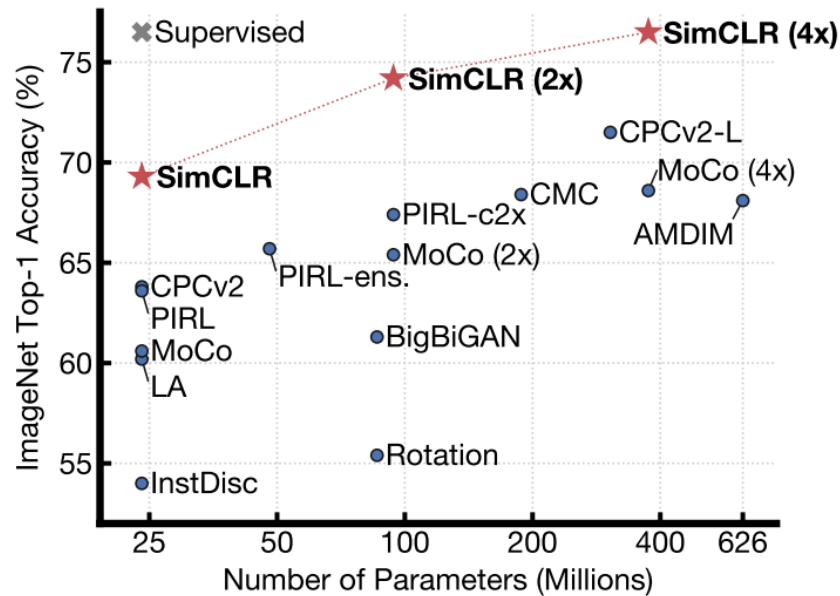
- Trend of the self-supervised learning

- Contrastive Learning (2019 ~ 2020)

- SimCLR [6] (ICML 2020)

- ※ MoCo 보다 높은 성능을 보이며, supervised와 근접한 성능을 보임

- ※ Large batch size 로 인한 memory limit 은 여전히 문제



# Self-Supervised Learning

- Trend of the self-supervised learning

- Other (2020 ~ )

- Bootstrap your own latent: A new approach to self-supervised Learning [7] (NIPS 2020)

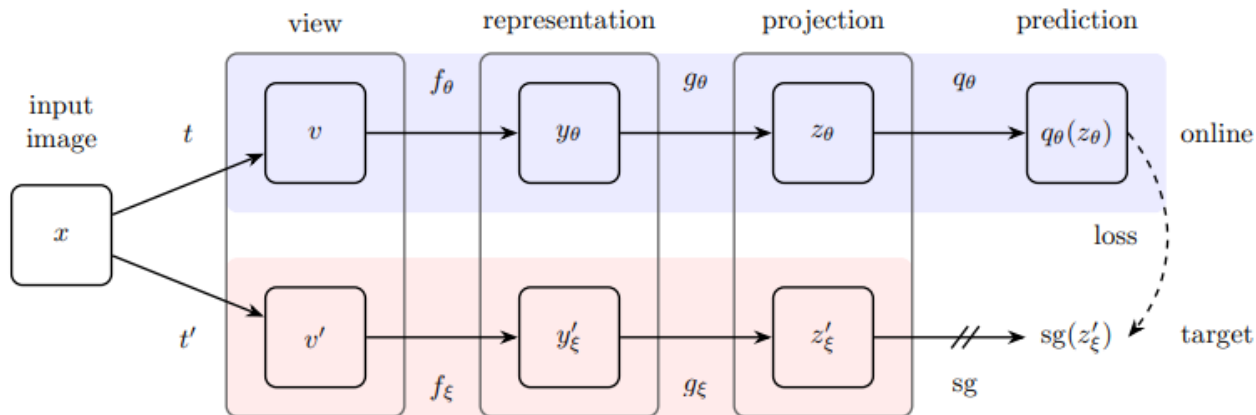
- ※ Negative sample 을 사용하지 않는 self-supervised learning 기법 제안

- ✓ Batch size 를 줄일 수 있음

- ✓ Negative sample 을 위한 augmentation 종류에 robust 해짐

- ※ 기존 contrastive learning 과 비슷한 Siamese network 를 이용

- ※ SOTA 성능 달성



# Self-Supervised Learning

- Bootstrap your own latent: A new approach to self-supervised Learning (NIPS 2020)

- Motivation

- Negative sample 을 사용하지 않고 image 로부터 visual representation 을 학습하는 것을 목표로 실험을 제안

- ⊛ Step 1

- ✓ Random initialize 된 model 을 학습하지 않고, freeze 한 뒤 linear layer 를 붙여 학습
  - 학습되지 않는 backbone (feature extractor) 를 사용하여 classification 정확도 확인
  - 1.4%의 top-1 accuracy 달성



# Self-Supervised Learning

- Bootstrap your own latent: A new approach to self-supervised Learning (NIPS 2020)

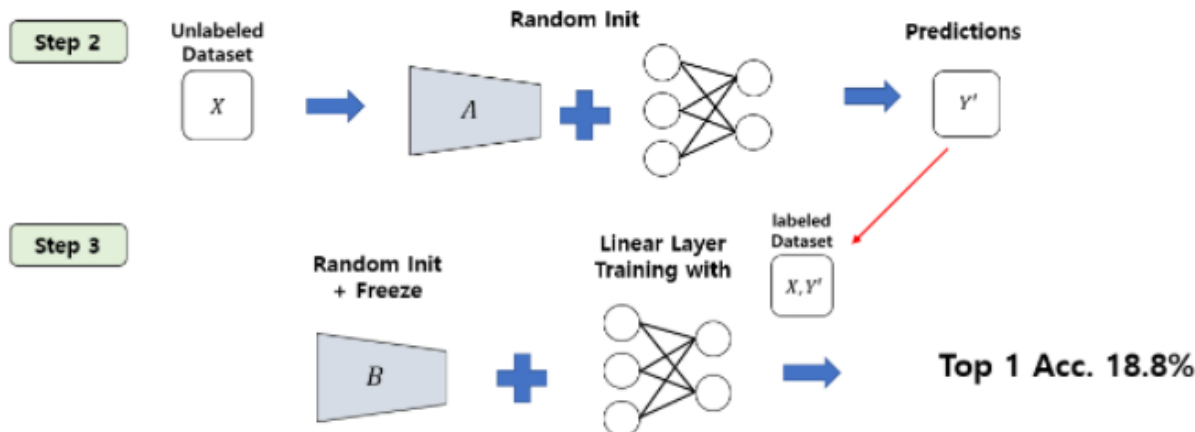
- Motivation

- ⌘ Step 2

- ✓ Random initialized backbone + MLP(predictor) 에 unlabeled dataset이 통과한 prediction 확보

- ⌘ Step 3

- ✓ 새로운 random initialized model B를 step 2 에서 확보한 prediction 을 target 으로 학습
      - 18.8% 의 top-1 accuracy 달성
      - 부정확한 target 을 배우도록 학습하더라도 큰 폭으로 성능이 향상



# Self-Supervised Learning

- Bootstrap your own latent: A new approach to self-supervised Learning (NIPS 2020)

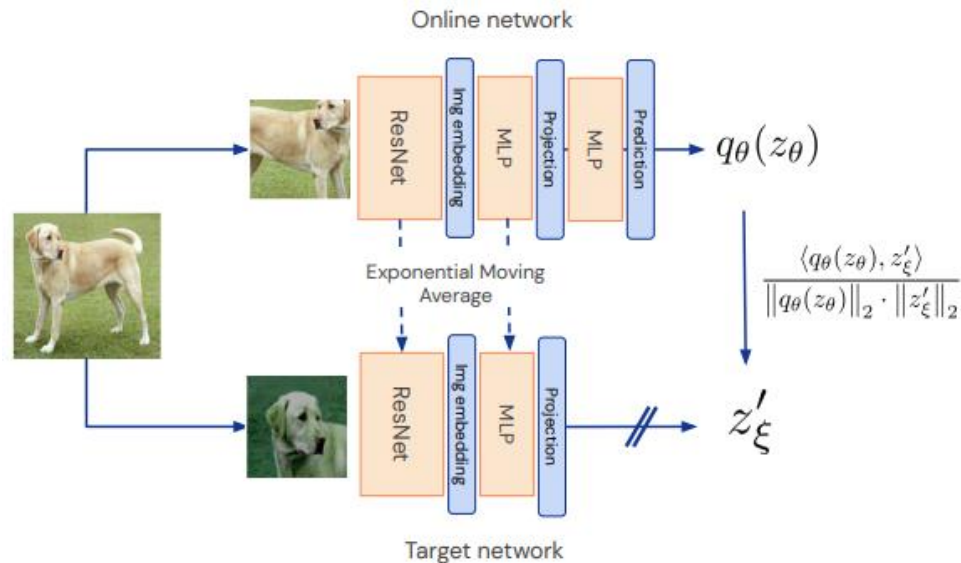
- Model Architecture

- Online network

- ⊛ Encoder (feature extractor) + projector + predictor

- Target network

- ⊛ Encoder (feature extractor) + projector



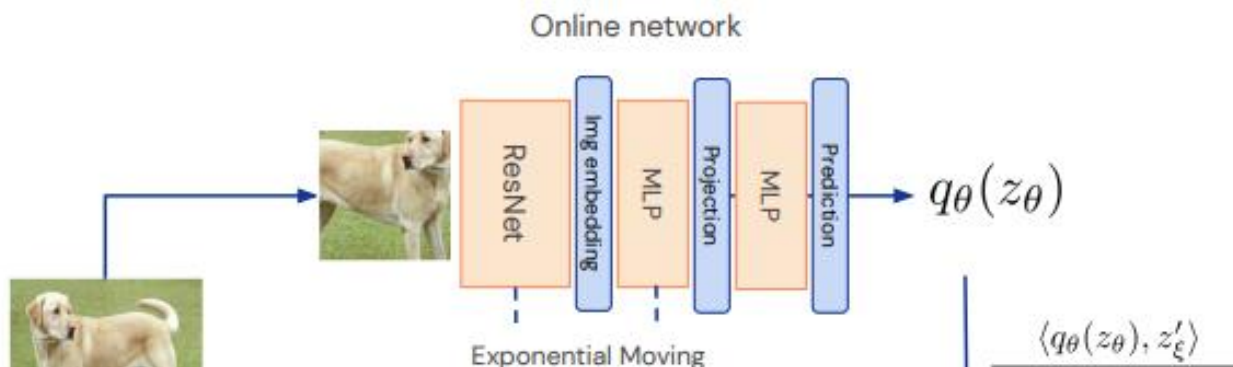
# Self-Supervised Learning

- Bootstrap your own latent: A new approach to self-supervised Learning (NIPS 2020)

- Model Architecture

- Online network

- ⌘ Target network 가 제공한 target 을 prediction 하며 학습하는 network
    - ⌘ Backpropagation 을 진행
    - ⌘ Projector 와 predictor 는 모두 MLP 사용





# Self-Supervised Learning

- Bootstrap your own latent: A new approach to self-supervised Learning (NIPS 2020)

- Model Architecture

- Target network

- ⌘ Encoder (feature extractor) + projector

- ⌘ Online network 가 학습할 target 제공

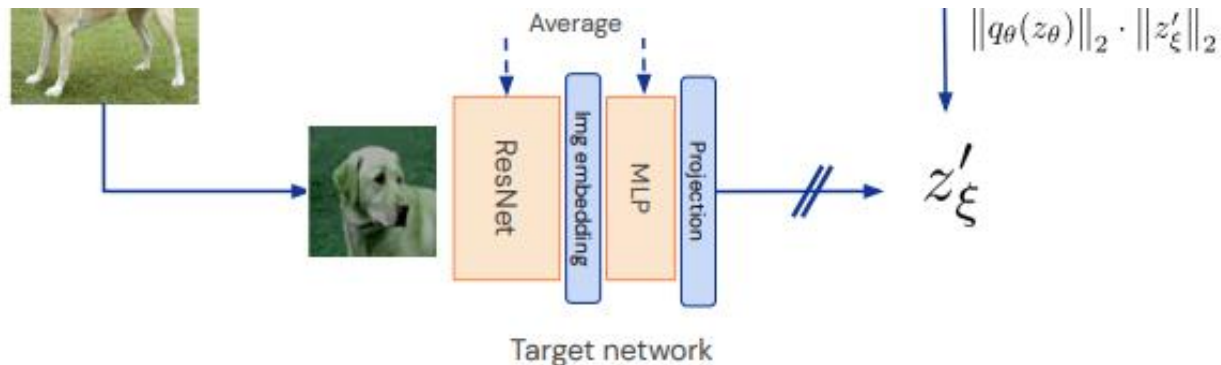
- ⌘ Stop-gradient

- ✓ Backpropagation 하지 않음

- ✓ Online network 의 weight 에 exponential moving average 를 적용하여 weight update

- Stable 한 학습 가능 (stable 한 target 제공 가능)

- Stop gradient 미적용시 collapsing 발생



# Self-Supervised Learning

- Bootstrap your own latent: A new approach to self-supervised Learning (NIPS 2020)

- Model Architecture

- Symmetric Loss

- ⌘ Augmented image 를 online network 와 target network 에 바꿔 입력하여 loss 계산
- ⌘ Cosine similarity 사용

$$\mathcal{L}_{\theta, \xi} \triangleq \|\overline{q_{\theta}}(z_{\theta}) - \overline{z'_{\xi}}\|_2^2 = 2 - 2 \cdot \frac{\langle q_{\theta}(z_{\theta}), z'_{\xi} \rangle}{\|q_{\theta}(z_{\theta})\|_2 \cdot \|z'_{\xi}\|_2}.$$

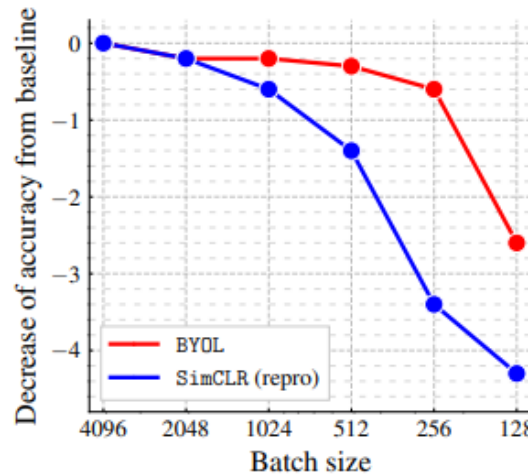
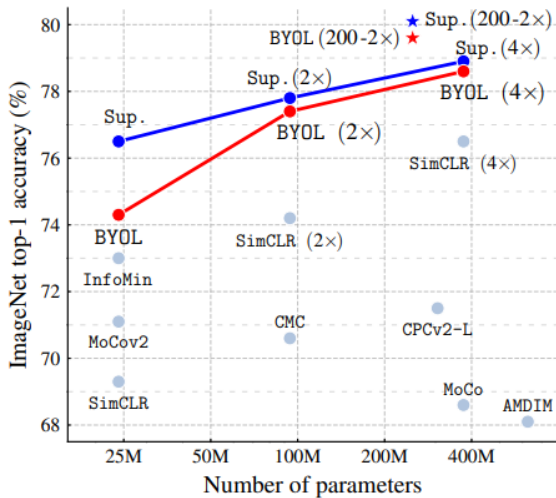
$$\mathcal{L}_{\theta, \xi}^{\text{BYOL}} = \mathcal{L}_{\theta, \xi} + \tilde{\mathcal{L}}_{\theta, \xi}$$

# Self-Supervised Learning

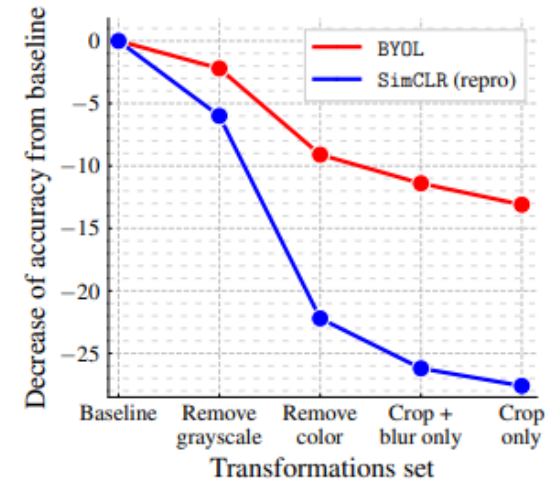
- Bootstrap your own latent: A new approach to self-supervised Learning (NIPS 2020)

- Experimental results

- MoCo, SimCLR 을 넘어서는 성능을 보임
- Batch size 가 256까지 감소하여도 성능 유지
- 기존 contrastive learning 보다 augmentation 의 변화에 robust 함



(a) Impact of batch size



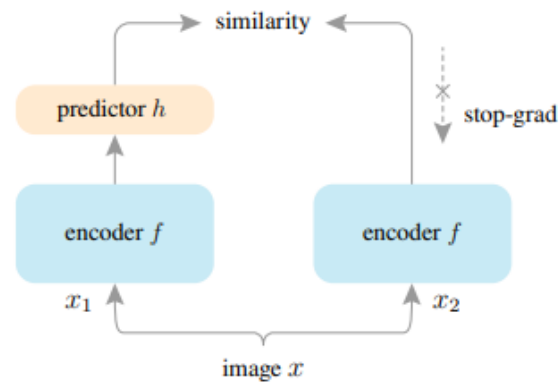
(b) Impact of progressively removing transformations

# Self-Supervised Learning

- Exploring Simple Siamese Representation Learning [8] (CVPR 2021)

- Motivation

- Unsupervised learning 에서 많이 사용되는 Siamese network 를 collapsing 이 발생하지 않게 사용할 수 있는 가장 simple 한 형태 제시
      - ※ Negative sample pairs 를 사용하지 않음
      - ※ large batch 를 사용하지 않음
      - ※ Momentum encoders 를 사용하지 않음
    - Siamese network 에서 collapsing 이 일어나는 원인 실험적 분석
      - ※ Stop-gradient 가 essential 한 role 을 가지고 있음.



# Self-Supervised Learning

## • Exploring Simple Siamese Representation Learning (CVPR 2021)

### ▪ Method

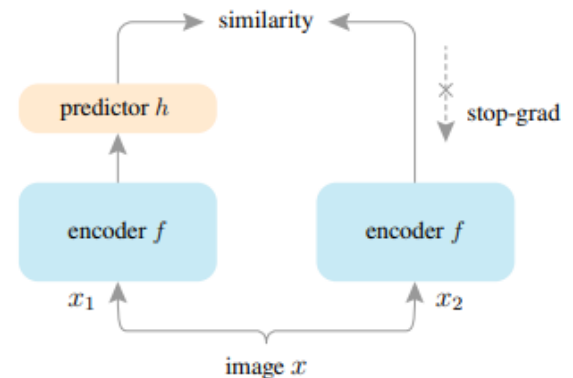
- Siamese network 구조로 되어 있으나, 하나의 network 에만 predictor  $h$  가 존재
- Predictor 가 존재하지 않는 network 는 stop gradient 적용
- Encoder 는 feature extractor + projector (MLP) 로 이루어져 있으며, 두 encoder 는 weight share

### ▪ Loss function

- Cosine similarity 사용
- Symmetric 하게 cosine similarity 적용

$$\mathcal{D}(p_1, z_2) = -\frac{p_1}{\|p_1\|_2} \cdot \frac{z_2}{\|z_2\|_2}$$

$$\mathcal{L} = \frac{1}{2} \mathcal{D}(p_1, \text{stopgrad}(z_2)) + \frac{1}{2} \mathcal{D}(p_2, \text{stopgrad}(z_1))$$



# Self-Supervised Learning

- Exploring Simple Siamese Representation Learning (CVPR 2021)

- Empirical Study

- Stop Gradient

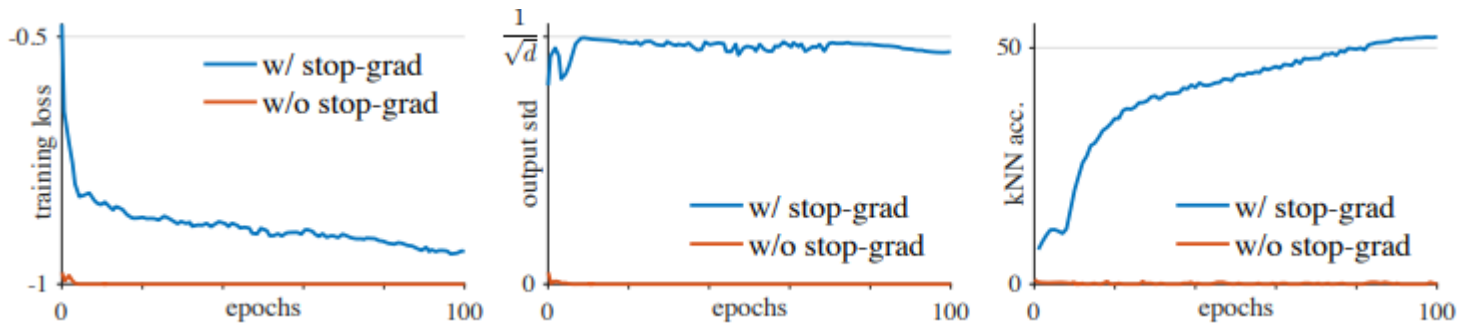
- ※ Stop gradient 제거 시 encoder 의 output 이 loss를 낮추기 위해 constant value 만을 출력하는 collapsing 발생

- ✓ Loss 는 최솟값인 -1로 수렴

- ✓ Standard deviation 이 0으로 수렴 (collapsing)

- ※ 모델 구조 등을 변경(MLP layer 수, batch norm 적용 여부) 하여도 stop gradient 가 적용되지 않았을 때에는 항상 collapsing 발생

- ✓ 원인은 알 수 없으나, stop gradient 가 collapsing 해결에 essential 한 role 을 담당함



	acc. (%)
w/ stop-grad	67.7±0.1
w/o stop-grad	0.1

# Self-Supervised Learning

- Exploring Simple Siamese Representation Learning (CVPR 2021)

- Empirical Study

- Predictor

- ※ Predictor 제거 시 collapsing 발생

- ✓ Loss =  $\frac{1}{2}\mathcal{D}(z_1, \text{stopgrad}(z_2)) + \frac{1}{2}\mathcal{D}(z_2, \text{stopgrad}(z_1))$ .

- $\mathcal{D}(z_1, z_2)$ 와 동일한 gradient direction 및 ½ downscale

- ✓ Stop gradient 를 제거한 것과 동일한 효과

- Batch size

- ※ Batch size 는 성능에 영향을 미치나, collapsing 의 원인은 아님

- ※ SimSiam 에서는 작은 batch size 에서도 좋은 성능을 보임

	pred. MLP $h$	acc. (%)
baseline	$lr$ with cosine decay	67.7
(a)	no pred. MLP	0.1
(b)	fixed random init.	1.5
(c)	$lr$ not decayed	68.1

Table 1. **Effect of prediction MLP** (ImageNet linear evaluation accuracy with 100-epoch pre-training). In all these variants, we use the same schedule for the encoder  $f$  ( $lr$  with cosine decay).

batch size	64	128	256	512	1024	2048	4096
acc. (%)	66.1	67.3	68.1	68.1	68.0	67.9	64.0

Table 2. **Effect of batch sizes** (ImageNet linear evaluation accuracy with 100-epoch pre-training).

# Self-Supervised Learning

- Exploring Simple Siamese Representation Learning (CVPR 2021)

- Empirical Study

- Batch Normalization

※ 성능에 영향을 미치지만, collapsing 의 원인은 아님

- ✓ Projector 는 모든 MLP 에 batch norm 을 적용

- ✓ Predictor 는 batch norm 을 적용하지 않음

- 적용시 unstable 한 학습 결과를 보임

- Similarity Function

※ Loss function 을 cosine similarity 대신 cross-entropy 를 적용하여도 어느 정도 성능을 보임

- Symmetrization

※ asymmetric 으로 loss 를 적용하여도 어느 정도 성능을 보임

case	proj. MLP's BN		pred. MLP's BN		acc. (%)
	hidden	output	hidden	output	
(a) none	-	-	-	-	34.6
(b) hidden-only	✓	-	✓	-	67.4
(c) default	✓	✓	✓	-	68.1
(d) all	✓	✓	✓	✓	unstable

	cosine	cross-entropy	
acc. (%)	68.1	63.2	
	sym.	asym.	asym. 2×
acc. (%)	68.1	64.8	67.3

Table 3. Effect of batch normalization on MLP heads (ImageNet linear evaluation accuracy with 100-epoch pre-training).



# Self-Supervised Learning

- Exploring Simple Siamese Representation Learning (CVPR 2021)

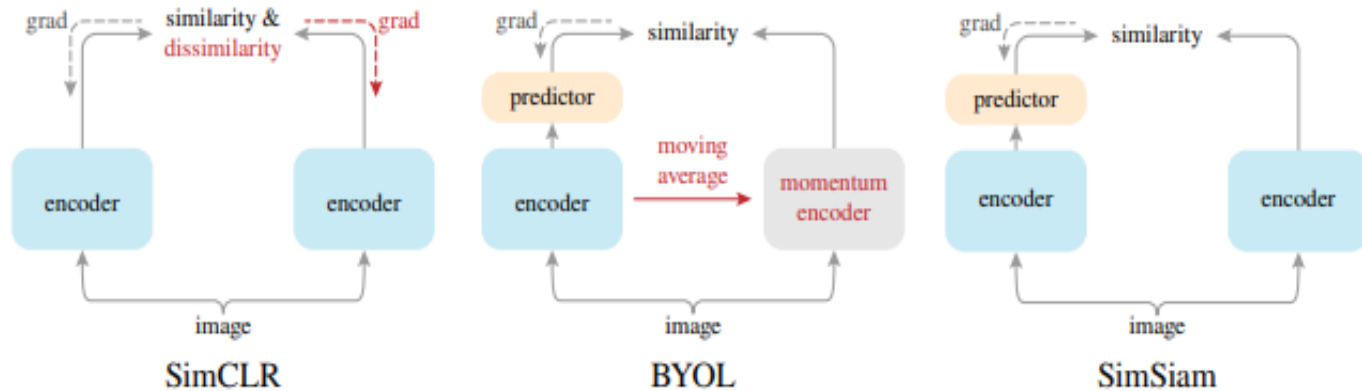
- Methodology Comparisons

- SimCLR

- ⊛ Negative pair 사용, large batch size
- ⊛ Stop gradient 미적용
- ⊛ Predictor 미적용

- BYOL

- ⊛ Momentum encoder 사용



# Self-Supervised Learning

- Exploring Simple Siamese Representation Learning (CVPR 2021)

- Experimental results

- 기존 contrastive learning 및 supervised learning 보다 높은 성능 달성

method	batch size	negative pairs	momentum encoder	100 ep	200 ep	400 ep	800 ep
SimCLR (repro.+)	4096	✓		66.5	68.3	69.8	70.4
MoCo v2 (repro.+)	<b>256</b>	✓	✓	67.4	69.9	71.0	72.2
BYOL (repro.)	4096		✓	66.5	<b>70.6</b>	<b>73.2</b>	<b>74.3</b>
SwAV (repro.+)	4096			66.5	69.1	70.7	71.8
<b>SimSiam</b>	<b>256</b>			<b>68.1</b>	70.0	70.8	71.3

Table 4. **Comparisons on ImageNet linear classification.** All are based on **ResNet-50** pre-trained with **two 224×224 views**. Evaluation is on a single crop. All competitors are from our reproduction, and “+” denotes *improved* reproduction vs. original papers (see supplement).

pre-train	VOC 07 detection			VOC 07+12 detection			COCO detection			COCO instance seg.		
	AP <sub>50</sub>	AP	AP <sub>75</sub>	AP <sub>50</sub>	AP	AP <sub>75</sub>	AP <sub>50</sub>	AP	AP <sub>75</sub>	AP <sub>50</sub> <sup>mask</sup>	AP <sub>75</sub> <sup>mask</sup>	AP <sub>75</sub> <sup>mask</sup>
scratch	35.9	16.8	13.0	60.2	33.8	33.1	44.0	26.4	27.8	46.9	29.3	30.8
ImageNet supervised	74.4	42.4	42.7	81.3	53.5	58.8	58.2	38.2	41.2	54.7	33.3	35.2
SimCLR (repro.+)	75.9	46.8	50.1	81.8	55.5	61.4	57.7	37.9	40.9	54.6	33.3	35.3
MoCo v2 (repro.+)	<b>77.1</b>	<b>48.5</b>	<b>52.5</b>	<b>82.3</b>	<b>57.0</b>	<b>63.3</b>	<b>58.8</b>	<b>39.2</b>	<b>42.5</b>	<b>55.5</b>	<b>34.3</b>	<b>36.6</b>
BYOL (repro.)	<b>77.1</b>	47.0	49.9	81.4	55.3	61.1	57.8	37.9	40.9	54.3	33.2	35.0
SwAV (repro.+)	75.5	46.5	49.6	81.5	55.4	61.4	57.6	37.6	40.3	54.2	33.1	35.1
<b>SimSiam, base</b>	75.5	47.0	50.2	<b>82.0</b>	56.4	62.8	57.5	37.9	40.9	54.2	33.2	35.2
<b>SimSiam, optimal</b>	<b>77.3</b>	<b>48.5</b>	<b>52.5</b>	<b>82.4</b>	<b>57.0</b>	<b>63.7</b>	<b>59.3</b>	<b>39.2</b>	<b>42.1</b>	<b>56.0</b>	<b>34.4</b>	<b>36.7</b>

Table 5. **Transfer Learning.** All unsupervised methods are based on 200-epoch pre-training in ImageNet. *VOC 07 detection*: Faster

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# Thank you